

RURAL	*
URBAN	

CENTRAL	*
STATE	

GOVERNMENT OF INDIA  
NATIONAL SAMPLE SURVEY ORGANISATION  
SOCIO-ECONOMIC SURVEY  
FIFTY-FIFTH ROUND : JULY 1999 - JUNE 2000  
HOUSEHOLD SCHEDULE 10 : EMPLOYMENT AND UNEMPLOYMENT

[0] descriptive identification of sample household	
state/u.t. :	srl. no. of hamlet :
district :	ward/inv. unit/block :
tehsil/town :	name of head :
village name :	name of informant :

[1] identification of sample household									
item no.	item	code			item no.	item	code		
1.	round number	5		5	11.	srl. no. of sample village / block			
2.	schedule number	1	0	0	12.	household visit number (1 / 2)			
3.	sample (central-1, state-2)				13.	segment (1 / 2)			
4.	sector (rural-1, urban-2)				14.	second-stage stratum			
5.	state - region				15.	sample household no.			
6.	district code				16.	srl. no. of informant			
7.	stratum number					(as in col. 1. block 4)			
8.	sub - round				17.	response code			
9.	sub - sample				18.	survey code			
10.	FOD sub - region				19.	reason for first substitution of original hh. (code)			

**CODES FOR BLOCK 1**

item 17: **response code** : informant : co-operative and capable-1, co-operative but not capable-2; busy-3, reluctant-4, others-9.

item 18: **survey code** : household surveyed : original-1, substitute-2; casualty-3.

item 19: **reason for first substitution of original household** : informant busy-1, members away from home-2, informant non-cooperative-3, others-9.

\* tick mark (✓) may be put in the appropriate place.

[2] particulars of field operation												
srl. no.	particulars	investigator			assistant superintendent			superintendent				
(1)	(2)	(3)			(4)			(5)				
1.	i) name											
	ii) code											
2.	<b>date(s) of :</b>	DD	MM	YY	DD	MM	YY	DD	MM	YY		
	(i) survey/ inspection											
	(ii) receipt											
	(iii) scrutiny											
	(iv) despatch											
3.	no. of addl. sheets attached											
4.	total time taken to canvass schedule 10 (minutes)											
5.	signature											

[10] remarks by investigator	[11] comments by supervisory officer(s)

<b>[3] household characteristics</b>		
1. household size		
2. social group (code)		
3. religion (code)		
4. household type (code)		
5. total expenditure last month (Rs) (to be copied from item 19, bl. 9)		
6. land owned as on date of survey (in 0.00 hectares)		
7. land possessed as on date of survey (in 0.00 hectares) [land possessed = land (owned + leased-in + neither owned nor leased-in) - land leased out]		
8. land cultivated (in 0.00 hectares) during July 1998 - June 1999		
no. of members who got work for at least 60 days in 'public works' during the last 365 days	9. male	
	10. female	

**CODES FOR BLOCK 3**

item 2: **social group**: scheduled tribe-1, scheduled caste-2, other backward class-3, others-9.

item 3: **religion**: Hinduism-1, Islam-2, Christianity-3, Sikhism-4, Jainism-5, Buddhism-6, Zoroastrianism-7, others-9.

item 4: **household type**:

**for rural areas**: self-employed in non-agriculture-1, agricultural labour-2, other labour-3, self-employed in agriculture-4, others-9.

**for urban areas**: self-employed-1, regular wage/salary earning-2, casual labour-3, others-9.

**Note: 1 Acre = 0.4047 Hectare**

<b>[3.1] indebtedness of rural labour household as on date of survey (i.e. for households with code 2 or 3 in item 4, block 3)</b>				
serial no. of loan	nature of loan (code)	source (code)	purpose (code)	amount outstanding including interest on date of survey (Rs)
(1)	(2)	(3)	(4)	(5)
total				

**CODES FOR BLOCK 3.1**

col. (2) : **nature of loan** : hereditary loan -1, loan contracted in cash -2, loan contracted in kind -3, loan contracted partly in cash and partly in kind -4.

col. (3) : **source**: government -1, co-operative society -2, bank -3, employer/landlord -4, agricultural/professional money lender -5, shop keeper/trader -6, relatives/friends -7, others -9.

col. (4) : **purpose**: household consumption: medical expenses -1, educational expenses -2, legal expenses -3, other consumption expenses -4; marriage and other ceremonial expenses -5, purchase of land/construction of building -6, productive purpose -7, repayment of debt -8, others -9.

## CODES FOR BLOCK 4

- col. (3): **relation to head** : self-1, spouse of head-2, married child-3, spouse of married child-4, unmarried child-5, grand child-6, father/mother/father-in-law/mother-in-law-7, brother/sister/brother-in-law/sister-in-law/other relatives-8, servants/employees/other non-relatives-9.
- col. (6): **marital status** : never married-1, currently married-2, widowed-3, divorced/separated-4.
- col. (7): **educational standard-general** : not literate-01, literate through attending: NFEC/AEC-02, TLC-03, others-04; literate but below primary-05, primary-06, middle-07, secondary-08, higher secondary-09, graduate and above in : agriculture-10, engineering/technology -11, medicine-12, other subjects-13.
- col (8): **educational standard-technical** : no technical education-1, technical degree in agriculture / engineering / technology / medicine etc.- 2, diploma or certificate in: agriculture-3, engineering/technology-4, medicine-5, crafts-6, other subjects-9.
- col (9): **current attendance in educational institution and course of study**: currently not attending any educational institution: never attended: to supplement hh. income-11, other reasons-12;  
ever attended but discontinued studies: to supplement hh. income-13, other reasons-14;  
dropped out: to supplement hh. income-15, other reasons-16;  
currently attending: NFEC/AEC-21, TLC-22; pre-primary-23, primary-24, middle-25, secondary and higher secondary-26, graduate & above in: agriculture-27, engineering/technology-28, medicine-29, other subjects -30;  
diploma or certificate course: agriculture-31, engineering/technology-32, medicine-33, crafts-34, other subjects-35.
- col. (15): **location of last usual residence**: same district: rural-1, urban-2; same state but another district: rural-3, urban-4; another state: rural-5, urban-6; another country-7.
- col. (17): **state/ u.t.** : A.P.-02, Ar.P.-03, Assam-04, Bihar-05, Goa-06, Gujarat-07, Haryana-08, H.P.-09, J & K-10, Karnataka-11, Kerala-12, M.P.-13, Maharashtra-14, Manipur-15, Meghalaya-16, Mizoram-17, Nagaland-18, Orissa-19, Punjab-20, Rajasthan-21, Sikkim-22, T.N.-23, Tripura-24, U.P.-25, W.B.-26, A & N Is.-27, Chandigarh-28, Dadra & Nagar Haveli-29, Daman & Diu-30, Delhi-31, Lakshadweep-32, Pondicherry-33.
- country**: Bangladesh- 51, Nepal- 52, Pakistan- 53, Sri Lanka- 54, Bhutan- 55, Gulf Countries (Saudi Arabia, Iran, Iraq, Kuwait, UAE and other countries of the region)- 56, Other Asian Countries- 57, USA- 58, Canada- 59, Other Countries of North and South America- 60, UK- 61, Other Countries of Europe- 62, Countries of Africa- 63, Rest of the World- 64.
- col. (18): **usual status at the time of migration**: worked in h.h. enterprise (self-employed) : own account worker -11, employer-12, worked as helper in h.h. enterprise (unpaid family worker)-21, worked as regular salaried/wage employee-31, worked as casual wage labour: in public works-41, in other types of work-51; did not work but was seeking and/or available for work-81, attended educational institution-91, attended domestic duties only-92, attended domestic duties and was also engaged in free collection of goods (vegetables, roots, fire-wood, cattle feed, etc.), sewing, tailoring, weaving, etc. for household use-93, rentiers, pensioners, remittance recipients, etc. -94, not able to work due to disability-95, beggars, prostitutes-96, others-97.
- col. (20): **reason for leaving the last usual place of residence**: in search of employment -01, in search of better employment -02, to take up employment/better employment -03, transfer of service/contract -04, proximity to place of work-05, studies -06, acquisition of own house/flat -07, housing problems -08, social/ political problems -09, health -10, marriage -11, migration of parent/earning member of the family -12, others -19.

[illegible]

## CODES FOR BLOCK 5.1

- col. (3): **status** : worked in h.h. enterprise (self-employed) : own account worker -11, employer-12, worked as helper in h.h. enterprise (unpaid family worker)-21, worked as regular salaried/wage employee-31, worked as casual wage labour: in public works-41, in other types of work-51; did not work but was seeking and/or available for work-81, attended educational institution-91, attended domestic duties only-92, attended domestic duties and was also engaged in free collection of goods (vegetables, roots, fire-wood, cattle feed, etc.), sewing, tailoring, weaving, etc. for household use-93, rentiers, pensioners, remittance recipients, etc.-94, not able to work due to disability-95, beggars, prostitutes-96, others-97.
- col. (5): **industry** : 5-digit code as in NIC-1998.
- col. (6): **occupation** : 3-digit code as in NCO-1968.
- col (8): **no. of subsidiary activities during last 365 days**: one activity-1, two activities-2, three or more activities-3.
- col. (9): **location of workplace: no fixed workplace -10**,  
**workplace in rural areas and located in**: own dwelling-11, own enterprise/unit/office/shop but outside own dwelling -12, employer's dwelling -13, employer's enterprise/unit/office/shop but outside employer's dwelling -14, street with fixed location-15, construction site-16, others -19  
**workplace in urban areas and located in**: own dwelling -21, own enterprise/unit/office/shop but outside own dwelling -22, employer's dwelling -23, employer's enterprise/unit/office/shop but outside employer's dwelling -24, street with fixed location-25, construction site-26, others -29
- col. (10): **enterprise type**: proprietary: male -1, female -2; partnership: with members from same hh. -3, with members from different hh. -4; public sector -5, semi-public -6, others -7 (includes co-operative society, public limited company, private limited company and other units covered under ASI), not known -9
- col. (12): **number of workers**: less than 6 -1, 6 to 9 -2, 10 & above but less than 20 -3, 20 & above -4, not known -9
- col. (14): **whether worked under given specifications**: yes: wholly -1, mainly -2, partly -3; no-4, not known -9
- col. (15): **who provided credit / raw material / equipments**: own arrangement -1, provided by the enterprise: credit only -2, raw material only -3, equipments only -4, credit and raw material only-5, credit and equipments only -6, raw material and equipments only -7, credit, raw material and equipments -8, not known -9
- col. (16): **no. of outlets of disposal**: one outlet -1, two outlets -2, three or more outlets -3; not known -9
- col.(19): **skill**: typist, stenographer-01, word processing-02, computer programming-03, data entry operator-04, fisherman-05, washerman-06, miner, quarryman-07, spinner including charkha operator-08, weaver-09, tailor, cutter-10, decorator-11, shoe-maker, cobbler-12, carpenter-13, mason, bricklayer-14, moulder-15, mechanic-16, machineman-17, craftsman-18, fitter-19, die-maker-20, welder-21, plumber-22, blacksmith-23, goldsmith/silversmith-24, electrician-25, repairer of electronic goods-26, motor vehicle driver, tractor driver-27, boatman-28, potter-29, nurse, midwife-30, basket maker, wicker product maker-31, toy maker-32, sports goods maker-33, brick maker, tile maker-34, bidi maker-35, agarbatti maker-36, bookbinder-37, artist/painter -38, barber-39, mud house builder & thatcher-40, others-41; no skill-99.
- col. (20): **period of seeking/availability for work during last 365 days** : yes: less than 1 month-1, 1 to 3 months -2, 3 to 6 months-3; no-4.

## CODES FOR BLOCK 5.2

- col. (3): **status**: codes as in col. 3, block 5.1. (only codes 11-51 are applicable here).
- col. (5): **industry** : 5-digit code as in NIC-1998.
- col. (6): **occupation** : 3-digit code as in NCO-1968.
- col. (7): **location of workplace**: codes as in col. 9, block 5.1.
- col. (8): **enterprise type**: codes as in col. 10, block 5.1.
- col. (10): **number of workers**: codes as in col. 12, block 5.1.
- col. (12): **whether worked under given specifications**: codes as in col. 14, block 5.1.
- col. (13): **who provided credit/ raw material/equipments**: codes as in col. 15, block 5.1.
- col. (14): **no. of outlets of disposal**: codes as in col. 16, block 5.1.



[illegible]









**[6] follow-up questions for persons unemployed on all the 7 days of the week (i.e., code 1 in col. 23 of bl. 5.3)**

srl. no. as in col. 1, bl. 5.3	age (yrs.) as in col. 2 of bl. 5.3	whether ever worked (yes-1, no-2)	for code 1 in col. 3, particulars of last employment					
			duration (code)	status (code)	industry (5-digit code as in NIC-1998)	occupation (3-digit code as in NCO-1968)	reason for break in employment (code)	for code 2 in col. 8, reason (code)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)

**CODES FOR BLOCK 6**

col. (4): **duration**: only 1 week -1, 1 to 2 weeks -2, 2 weeks to 1 month -3, 1 to 2 months -4, 2 to 3 months -5, 3 to 6 months -6, 6 to 12 months -7, 12 months & above -8

col. (5): **status** : code structure same as in col. (3), block 5.1 (**only codes 11-51 are applicable**).

col. (8): **reason for break in employment** : loss of earlier job-1, quit earlier job-2, lay-off without pay-3, unit has closed down-4, lack of work in the enterprise (for self-employed persons)-5, lack of work in the area (for casual labour)-6, others-9.

col.(9): **reason for quitting job**: work was not remunerative enough-1, unpleasant environment-2, employer harsh-3, health hazard-4, to avail benefits of voluntary retirement-5, others-9.

**CODES FOR BLOCK 5.3**

cols. (4) and (20): **status** : codes 11, 12, 21, 31, 41, 51 and 91-97 of col. (3), block-5.1 and also the following codes: had work in h.h. enterprise but did not work due to : sickness-61, other reasons-62; had regular salaried/wage employment but did not work due to : sickness-71, other reasons - 72; sought work-81, did not seek but was available for work-82, did not work due to temporary sickness (for casual workers only)-98.

col. (5): **industry division** : 2 digit division codes as per NIC 1998.

col. (6): **operation (for rural areas only)**: manual work in cultivation: ploughing-01, sowing-02, transplanting-03, weeding-04, harvesting-05, other cultivation activities-06; manual work in other agricultural activities: forestry-07, plantation-08, animal husbandry -09, fisheries-10, other agricultural activities-11; manual work in non-agricultural activities-12, non-manual work in : cultivation-13, activities other than cultivation-14.

col. (18): **mode of payment**: **piece rate in cash**: daily-01, weekly-02, fortnightly-03, monthly-04, other-05;  
**piece rate in kind**: daily-06, weekly-07, fortnightly-08, monthly-09, other-10;  
**piece rate in both cash and kind**: daily-11, weekly-12, fortnightly-13, monthly-14, other-15;  
**other (non-piece) rate in cash**: daily-16, weekly-17, fortnightly-18, monthly-19, other-20;  
**other (non-piece) rate in kind**: daily-21, weekly-22, fortnightly-23, monthly-24, other-25;  
**other (non-piece) rate in both cash and kind**: daily-26, weekly-27, fortnightly-28, monthly-29, other-30.

col. (21): **industry** : 5-digit code as in NIC-1998.

col. (22): **occupation** : 3-digit code as in NCO-1968.

<b>[7.1] follow-up questions on availability for work to persons working in the usual principal or subsidiary status (activity - I) (i.e. those with codes 11-51 either in col. 3 of bl. 5.1 or bl. 5.2)</b>												
srl. no.	age (yrs.)	usual activity status code		whether engaged mostly in	whether worked more or less regularly during last 365 days	approximate no. of months without work (months)	if entry <sup>3</sup> 1 in col. 7, sought / available for work during those months (yes: on most days-1, on some days-2; no-3)	if code 1 or 2 in col. 8, made any efforts to get work (code)	sought/ available for additional work during the days he/she had work (code)	if code 1 or 2 in col. 10, reason (code)	sought/ available for alternative work during the days he/she had work (code)	if code 1 or 2 in col. 12, reason (code)
as in col. 1, bl. 5.1	as in col. 2, of bl. 5.1	principal (as in col. 3, bl. 5.1)	subsidiary activity - I (as in col. 3, bl. 5.2)	full time or part time work during last 365 days (full time-1, part time-2)								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)

#### CODES FOR BLOCK 7.1

col. (9): **made any efforts to get work** : registered in employment exchange-1, other efforts-2, no effort-3

col. (10)/(12): **sought/ available for additional/ alternative work during the days he/she had work** : yes: on most days-1, on some days-2, no-3

col. (11) : **reason for seeking/available for additional work** : to supplement income-1, not enough work-2, both-3, others-9.

col. (13) : **reason for seeking/available for alternative work** : present work not remunerative enough-1, no job satisfaction-2, lack of job security-3, work place too far-4, wants wage/salary job-5, others-9.

<b>[7.2] follow-up questions on change of nature of work and/or establishment to persons working in the usual principal status or subsidiary status (activity - I) (i.e. those with codes 11-51 in col. 3 of bl. 5.1 or bl. 5.2)</b>															
srl. no.	age (yrs.)	usual status		is there any uni- on/asso- ciation in your activity? (code)	if 'yes' in col. 5,  whether a member of union/asso- ciation  (yes-1, no-2)	for codes 11-51 in col. 3 or 4,		for codes 11-51 in col. 3, whether during last 2 years changed							if 'yes' in col.  11 or 13, reason for last change (code)
		prin- cipal  (as in col. 3, bl. 5.1)	subsi- diary act.-I (as in col. 3, bl. 5.2)			nature of employ- ment  (perma- nent-1, tempo- rary-2)	whether covered under Provident Fund (code)	work acti- vity status  (yes-1, no-2)	if 'yes' in col. 9, last activi- ty status (code)	in- dus- try  (yes-1, no-2)	if 'yes' in col. 11, last indus- try (code)	occu- pa- tion  (yes-1, no-2)	if 'yes' in col. 13, last occu- pation (code)	esta- blish- ment  (yes-1, no-2)	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)

### CODES FOR BLOCK 7.2

col. (5) : **union/association** : yes-1, no-2, not known-9

col. (8) : **whether covered under Provident Fund** : yes: GPF-1, CPF-2, PPF-3, combination of GPF, CPF and PPF - 4; no-5

col. (10) : **status**: code structure same as in col. 3, bl. 5.1 (only codes 11 -51 are applicable).

col. (12) : **industry**: 2-digit codes as in NIC-1998.

col. (14) : **occupation**: 2-digit codes as in NCO-1968.

col. (16) : **reason for last change** : loss of earlier job due to : retrenchment/lay-off-1, closure of unit-2, for better income/remuneration-3, no job satisfaction-4, lack of work in the enterprise (for self-employed) -5, lack of job security-6, work place too far-7, promotion/transfer-8, others-9.

<b>[8] follow-up questions for females (code 2 in col. 4, bl.4) with usual activity status code 92 or 93 ( in col. 3 of bl. 5.1)</b>				
1.	serial number as in col. 1, bl. 4			
2.	age (years) as in col. 5, bl. 4			
3.	were you required to spend most of your time on domestic duties almost throughout the last 365 days? (yes-1, no-2)			
4.	<i>if code 1 in item 3</i> , reason thereof (no other member to carry out the domestic duties-1, cannot afford hired help-2, for social and/or religious constraints-3, others-9)			
5.	<i>if code 2 in item 3</i> , reason for still pursuing domestic duties (non-availability of work-1, by preference-2, others-9)			
<b>for items 6 to 19</b> <b>alongwith your domestic duties did you more or less regularly carry out during the last 365 days:</b>				
6.	maintenance of kitchen gardens, orchards etc.? (yes-1, no-2)			
7.	work in household poultry, dairy, etc.? (yes-1, no-2)			
8.	free collection of fish, small game, wild fruits, vegetables, etc. for household consumption? (yes-1, no-2)			
9.	free collection of fire-wood, cowdung, cattle feed etc. for household consumption? (yes-1, no-2)			
10.	husking of paddy for household consumption? (code)			
11.	grinding of foodgrains for household consumption? (code)			
12.	preparation of gur for household consumption? (code)			
13.	preservation of meat and fish for household consumption? (code)			
14.	making baskets and mats for household use? (code)			
15.	preparation of cow-dung cake for use as fuel in the household? (yes-1, no-2)			
16.	sewing, tailoring, weaving etc. for household use? (yes-1, no-2)			
17.	tutoring of own children or others' children free of charge? (yes-1, no-2)			
18.	bringing water from outside the household premises? (yes-1, no-2)			
<b>for rural areas only</b>				
	19. bringing water from outside the village? (yes-1, no-2)			
	20. <i>if yes in item 19</i> : distance in kilometers			
21.	inspite of your pre-occupation in domestic duties, are you willing to accept work if work is made available at your household? (yes-1, no-2)			
<b>if code 1 in item 21</b>	22. the nature of work acceptable (regular full time-1, regular part-time-2, occasional full time-3, occasional part-time-4)			
	23. type of work acceptable (code)			
	24. do you have any skill/experience to undertake that work? (yes-1, no-2)			
	25. what assistance do you require to undertake that work? (code)			

### CODES FOR BLOCK 8

**items 10-14:** yes : commodities produced in own farm/free collection-1, commodities acquired otherwise-2; no-3.

**item 23: type of work acceptable:** dairy -1, poultry -2, other animal husbandry -3, spinning and weaving -4, manufacturing wood and cane products -5, tailoring -6, leather goods manufacturing -7, others -9.

**item 25: whether assistance required:** no assistance -1; yes: initial finance on easy terms -2, working finance facilities -3, easy availability of raw materials -4, assured market -5, training -6, accommodation -7, others -9.

[9] worksheet for recording household consumer expenditure		
item group	value of consumption (Rs) during	
	last 30 days	last 365 days
(1)	(2)	(3)
1. cereals & cereal products		
2. pulses & pulse products		
3. milk & milk products		
4. edible oil		
5. vegetables		
6. fruits & nuts		
7. egg, fish & meat		
8. other food items (sugar, salt, spices, beverages, processed food, etc.)		
9. pan, tobacco & intoxicants		
10. fuel & light		
11. <b>total</b> (items 1 to 10)		
12. <b>misc. goods &amp; services (monthly expenditure)</b>		
12.1 cinema / theatre / video show		
12.2 tuition fees		
12.3 newspapers, magazines, fiction		
12.4 medical expenses (non-institutional)		
12.5 toilet articles including washing soap & other cleaning agents		
12.6 regular (commuting type) and other journeys		
12.7 house rent		
12.8 other miscellaneous goods & services		
12.9 <b>sub-total</b> (items 12.1 to 12.8)		
13. <b>misc. goods &amp; services (annual expenditure)</b>		
13.1 school books & other educational articles		
13.2 hospital, nursing home (institutional)		
13.9 <b>sub-total</b> (items 13.1+13.2)		
14. clothing		
15. footwear		
16. <b>durable goods</b>		
16.1 furniture		
16.2 utensils		
16.3 ornaments		
16.4 kitchen equipments		
16.5 vehicles		
16.6 clocks & watches		
16.7 cassettes & records		
16.8 TV, radio, etc.		
16.9 other household appliances		
16.10 repair and maintenance		
16.99 <b>durable goods total</b> (items 16.1 to 16.10)		
17. <b>total</b> (item 13.9+ item 14 + item 15+ item 16.99)		
18. average monthly expenditure for items 13.9, 14, 15 and 16.99 (i.e. item 17 ÷ 12)		
19. <b>monthly total consumer expenditure</b> (item 11 + item 12.9 + item 18)		